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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,853 O P E DEC 31 2001 JC65 PATENT	08/23/2001	John Ross JR.	UCAL082CON

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200 Middlefield Road  
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CONFIRMATION NO. 1926  
FORMALITIES LETTER

  
\*OC00000006549613\*

Date Mailed: 09/17/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

01/07/2002 TV0111 00000003 500815 09938853

01 FC:103 18.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

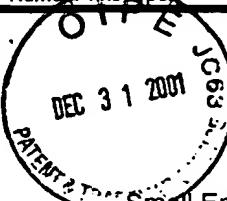
01/03/2002 AWONDAF1 00000052 500815 09938853

01 FC:101 740.00 CH  
02 FC:105 130.00 CH

Sect 8 #3

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print/Type)	Cindy Hoang	Signature	<i>Cindy Hoang</i>	Date	11-16-2001
 <p style="text-align: center;"><b>TRANSMITTAL</b></p> <p><input type="checkbox"/> Small Entity      <input checked="" type="checkbox"/> Large Entity</p>		Application Number	09/938,853		
		Confirmation Number	1926		
		Filing Date	August 23, 2001		
		First Named Inventor	ROSS		
		Examiner	To Be Assigned		
		Group Art	1647		
		Attorney Docket No.	UCAL082CON		

ENCLOSED:	Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
<input type="checkbox"/> Amendment Under Rule	Total				\$	-
<input type="checkbox"/> 37 CFR § _____	Independent				\$	-
<input type="checkbox"/> Pages _____	Multiple					
	Total Extra Claim Fees				\$	-

<input type="checkbox"/> Applicants Petition for an Extension of time from _____ to _____	A month extension was previously filed and paid for thereby reducing the basic fee	Fee
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<input checked="" type="checkbox"/> Response to File Missing Parts (with copy of formalities letter)		
<input checked="" type="checkbox"/> Filing Fee		Fee \$740.00
<input type="checkbox"/> Executed Declaration	Pages _____	Surcharge Fee \$130.00
<input type="checkbox"/> Other	_____	Fee _____
		Subtotal \$870.00

<input type="checkbox"/> Information Disclosure Statement		
<input type="checkbox"/> PTO Form 1449	Pages _____	
<input type="checkbox"/> Copies of Cited References	_____	
<input type="checkbox"/> Other	_____	Fee _____
		Subtotal \$ -

<input type="checkbox"/> Response to Notice to Comply (with copy of Notice to Comply)		
<input type="checkbox"/> Sequence Listing Certification		
<input type="checkbox"/> Paper Copy of Sequence Listing	Pages _____	
<input type="checkbox"/> Diskette in computer-readable format		
<input type="checkbox"/> Other	_____	Fee _____

<input type="checkbox"/> Terminal Disclaimer	Fee		
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group			
<input type="checkbox"/> Notice of Appeal	Pages	Fee	
<input type="checkbox"/> Appeal Brief in Triplicate	Pages	Fee	
<input type="checkbox"/> Reply Brief	Pages	Fee	\$ -
		Subtotal	\$ -
<input type="checkbox"/> Other Enclosures and/or Fees	Fee		
<input type="checkbox"/> Change of Correspondence Address			
<input checked="" type="checkbox"/> Return Receipt Postcard	TOTAL FEES \$ 870.00		
<p><b>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</b></p>			
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED</b>			
Name (Print/Type)	Robert C. Hall	Registration No.	39,209
Signature		Date	11-16-2001
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200
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Application No. 09/938,853 Attorney Docket No. UCAL082CON Page 2 of 2